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"ADMITTED IN N.Y. AND N.J. + COUNSEL

PATENT AGENTS

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SCIENTIFIC ADVISOR

SARAH J. FASHENA, PH.D.

April 28, 2004

Mail Stop 16 Director of the US Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Attention:

Refund Section,

Accounting Division Office of Finance

Re:

Request for Refund

Deposit Account No. 11-1153

Control No. 43

Serial No. 10/790,372

Our Docket: 2657-1-001CON

REQUEST FOR REFUND

Dear Sirs:

We formally request herein a credit to be applied to the above-mentioned Deposit Account, due to a discrepancy in the statement of March 31, 2004. A copy of the statement, with the discrepancy highlighted, is enclosed for your reference.

Specifically, the item refers to Control No.43 relating to an \$81.00 charge which represents 9 additional claims over 20 (small entity fee) for U.S. Application Serial No. 10/790,372, Attorney Docket No. 2657-1-001CON. The application in question contains a total of only 19 claims, however, due to a typographical error on the Fee Transmittal Form, the total claims box showed 29, instead of 19; but the extra claims box correctly indicated "0". The only additional claims charge was with respect to Multiple Dependent claims, for which the correct fee was submitted with the application. We enclose copies of the following:

djustment date: 06/08/2004 EEKUBAY1 05/27/2004 AGOITOM 00000003 111153 10790372 1 FC:2202 18.00 CR

Assistant Commissioner for Patents April 28, 2004 Page 2



ZDA MAY - 3 Attorney Docket: 2657-1-001CON

- 1. Copy of the Fee Transmittal for FY 2004, dated March 1, 2004.
- 2. Copy of the CLAIMS included in the application.
- 3. Copy of the Monthly Statement of Deposit Account Dated March 31, 2004.

Accordingly, the undersigned herein requests that a total of \$81.00 is due and should be credited to the above-noted Deposit Account. Such action is accordingly requested, and written confirmation that this action has been taken is likewise requested herein.

Respectfully submitted,

DAVID A. JACKSON Attorney for Applicant Registration No. 26,742

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, NJ 07601 (201) 487-5800

Enclosures



FINA

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

KLAUBER & JACKSON

411 HACKENSACK AVENUE CONTINENTAL PLAZA HACKENSACK NJ 07601

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> Account No. 111153 Date 3-31-04

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Approved for use through 07/31/2006. OMB 0651-0032

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ERANCH Complete If Known FEE TRANSMITTAL Unassigned Application Number Zan India Filing Date Herewith for FY 2004 First Named Inventor Reijo Varis Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Unassigned Applicant claims small entity status. See 37 CFR 1.27 Unassigned **Art Unit** TOTAL AMOUNT OF PAYMENT (\$) 530.00 Attorney Docket No. 2657-1-001CON METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) ✔ Check ☐ Money Order 3. ADDITIONAL FEES Credit card Other None Large Entity , Small Entity Deposit Account: Fee Description Deposit Fee Paid 11-1153 Account Number 1051 2051 130 65 Surcharge - late filing fee or oath Deposit Surcharge - late provisional filing fee or 1052 50 2052 25 Account Name 1053 1053 Non-English specification 130 130 Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below Credit any overpayments 920° Requesting publication of SIR prior to Charge any additional fee(s) or any underpayment of fee(s) 1804 920 1804 Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1.840 1805 1,840° Requesting publication of SIR after to the above-identified deposit account. Examiner action 1251 110 2251 **FEE CALCULATION** 55 Extension for reply within first month 1252 420 2252 Extension for reply within second month 1. BASIC FILING FEE 1253 950 arge Entity Small Entity 2253 Extension for reply within third month Fee Paid 1254 1.480 2254 740 Extension for reply within fourth month 1255 2,010 2255 1,005 Extension for reply within fifth month 385.00 14PO-Shouli Be 1401 330 2401 165 Notice of Appeal 1402 330 2402 165 Filing a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1451 1.510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable (\$) 385.00 1453 1 330 2453 665 Petition to revive - unintentional **AND REISSUE** 1501 1 330 2501 665 Utility issue fee (or reissue) 1502 480 2502 240 Design issue fee Total Claims 1503 640 2503 320 Plant issue fee Independent 40 Claims LL Multiple Dependent 1480 130 1460 130 Petitions to the Commissioner 145.00 1807 50 1807 50 Processing fee under 37 CFR 1,17(q) arge Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description Code (\$) 40 Recording each patent assignment per 8021 40 8021 property (times number of properties) 1202 18 2202 Claims in excess of 20 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 385 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 1810 770 2810 Reissue independent claims 1204 86 2204 over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1205 Reissue claims in excess of 20 18 2205 1802 900 1802 900 Request for expedited examination and over original patent of a design application Other fee (specify) (\$) 145.00 SUBTOTAL (2)

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"or number previously paid, if greater, For Reissues, see above

SUBMITTED BY			(Complete	(If applicable))
Name (Print/Type)	Qayid A. Jackson, E.	Registration No. 26,742	Telephone	201-487-5800
Signature	A. APP		Date	March 1, 2004

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

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This collection of information is required by 37 CER 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE CLAIMS:

Claims 1-23 (cancelled)

- 24. (new) A cartridge for dispensing pill- or capsule-form medications in desired doses (60), said cartridge comprising
- individual dosage compartments (27) for desired doses of medication,
- a vacant recess or space (23) between the dosage compartments (27),
- a rotatable and removable cover (28) having an opening (28a) which can be rotated to coincide with any of the dosage compartments (27) for loading and/or dispensing of doses through the opening (28a), and
- means (90, 91) for visual indication of dispensing schedule comprising a first ring (90) with sections for days of a week, and a second ring (91) dividing said sections into subsections indicating the number of medicine of a day, the cartridge being furnished with identification date (ID), on the basis of which the filled cartridge (20, 40) can be certifiably returned to a correct user.
- 25. (new) A loading device for loading cartridges as set forth in claim 24, **characterized** in that the cartridge is insertable into a separate closing or loading device (80) for filling the dosage compartments (27) of the cartridge with desired doses of medication and for furnishing the filled cartridge (20) with identification data (ID).
- 26. (new) An intelligent dispensing device (35) for dispensing pill- or capsule-form medications in desired doses, said dispending device comprising
 - a housing or frame (10),
 - a cartridge (20) according to claim 24 or 25, rotatably supported on the housing or frame (10) without its cover (28), the cartridge (20, 40) being adapted to be disengageable and removable from the dispensing device (35) for filling the dosage compartments (27) of the cartridge with desired doses

- of medication, the cartridge being furnished with identification data (ID), on the basis of which the filled cartridge (20) can be certifiably returned to the proper dispensing device (35),
- a signalling device (75, 76) giving a sound and/or light signal, which activates at pre-programmed points of time, and
- an electronics unit (19, 55) containing program electronics (19) and a dispensing program,

the program electronics (19) being re-programmable with a programming device (66, 101, 102), the dispensing being arranged to be effected by means of the users own action which is exerted onto the dispensing device (35).

- 27. (new) A dispensing device as set forth in claim 26, **characterized** in that the programming device (66, 101, 102) is an external portable device, which has a wireless communication link (37, 138) with the electronics unit (19, 55) of the dispensing device (35).
- 28. (new) A loading device as set forth in claim 25, **characterized** in that the prescription controlling the loading device (80) in terms of its filling action is adapted to be delivered, along with a patient's identification data, by way of a communication network, such as the internet or a chip card (68), to a computer (67) controlling operation of the loading device (80).
- 29. (new) A dispensing device as set forth in claim 27, **characterized** in that the external programming device (66, 101, 102) for a dispensing program comprises either a mobile telephone or a programming device (101, 102) equipped with an IR link (38, 138) for feeding the dispensing program, or modifications thereto to the electronics unit (19, 55).
- 30. (new) A dispensing device as set forth in claim 27, characterized in that the

external programming device (66, 101, 102) for a dispensing program comprises a computer (66), which has a link via the internet to a distant control file or which has a control file stored in its bulk memory.

- 31. (new) A loading device as set forth in claim 25, **characterized** in that the loading device (80) is adapted to provide the cartridge (20) with an optically readable label (ID) disclosing the identification data.
- 32. (new) A loading device as set forth in claim 25, **characterized** in that the cartridge (20) carries an electronic identification tag (39), which the loading device (80, 80°) furnishes with identification data for a customer, and that the dispensing device (35) according to claim 26 or a hospital dispensary is provided with elements (39a; 102/139) for reading the data disclosed in the identification tag (39).
- 33. (new) A dispensing device as set forth in claim 26, **characterized** in that there are means (18, 18a) for rotating the cartridge (20) the rotating means being activated to function upon pressing a push button (3), but only after the signalling device (75, 76) has activated under control of the program of the electronics unit (19, 55).
- 34. (new) A dispensing device as set forth in claim 33, **characterized** in that, upon every activation of the means (18, 18a) manipulating the cartridge (20), the information about a dispensing occurrence is transmitted to said control file (64a), which compiles a monitoring log of taking a medication.
- 35. (new) A dispensing device as set forth in claim 33, **characterized** in that the electronics unit (19, 55) involves a memory which collects information regarding dispensing occurrences and transmits the same at prescribed times to a control file, which compiles a monitoring log of taking a medication, the prescribed times being



shert enough for practically real time monitoring of taking a medication.

- 36. (new) A dispensing device as set forth in claim 26, **characterized** in that the electronics unit (19, 55) containing a dispensing program is programmable by means of push buttons (56) included therein.
- 37. (new) A dispensing device as set forth in claim 26, **characterized** in that between the dosage compartments (27) of the cartridge (20) is a vacant recess or space (23) and the housing or frame (10) of the dispensing device is provided with a protrusion, which functions as a response and/or an indication for inserting the cartridge (20) in the housing or frame (10) of a dispensing device in a preset initial position.
- 38. (new) A dispensing device as set forth in claim 26, **characterized** in that at least one cartridge (20) is encloseable within a space (5a, 5b) defined by a cover (2) mountable around the frame (10) and the cartridge (20).
- 39. (new) A dispensing device as set forth in claim 26, **characterized** in that a stepping motor (18) rotates the cartridge (20) in compliance with a program programmed in a programmable memory of the electronics unit (19, 55).
- 40. (new) A cartridge as set forth in claim 24, **characterized** in that, with the removable cover (28) set in its position, the dosage compartments (27, 47) are closed on all sides thereof.
- 41. (new) A cartridge as set forth in claim 24, **characterized** in that, the cartridge (20) is made of transparent plastics and also the dispensing device is partially made of transparent plastics to allow visual inspection of the doses in the dosage compartments.

PATENTAND ENTRY 2657-1-001CQN

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42. (new) A dispensing device as set forth in claim 33, **characterized** in that a stepping motor (18) rotates the cartridge (20) through an angular distance equal to the dosage compartment (27) as the dispensing button (20) is pressed at the accepted medication time, which is programmed in the program of the electronics units (19, 55).